

### General information about company

Scrip code	000000
NSE Symbol	ESFL
MSEI Symbol	NOTLISTED
ISIN	INE0ITO01014
Name of the company	ESSEN SPECIALITY FILMS LIMITED
Whether company is SME	Yes
Class of Security	Equity Shares
Type of report	Pre-listing
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	04-07-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	06-07-2023
Shareholding pattern filed under	Regulation 31 (1) (a)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

### Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table VI - Statement showing foreign ownership limits**

<b>Particular</b>	<b>Approved limits (%)</b>	<b>Limits utilized (%)</b>
As on shareholding date	100	3.15
As on the end of previous 1st quarter	0	0
As on the end of previous 2nd quarter	0	0
As on the end of previous 3rd quarter	0	0
As on the end of previous 4th quarter	0	0

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	9	14500000			14500000	70.05	14500000		14500000	70.05
(B)	Public	2237	6199200			6199200	29.95	6199200		6199200	29.95
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	2246	20699200			20699200	100	20699200		20699200	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				70.05	14500000	100			14500000			
(B)	Public				29.95	1765200	28.47			6199200	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100	16265200	78.58			20699200	0	0	0

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	9	14500000			14500000	70.05	14500000		14500000	70.05
Sub-Total (A)(1)		9	14500000			14500000	70.05	14500000		14500000	70.05
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		9	14500000			14500000	70.05	14500000		14500000	70.05
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(c)	Alternate Investment Funds	3	787200			787200	3.8	787200		787200	3.8
(d)	Banks	1	92400			92400	0.45	92400		92400	0.45
(e)	Insurance Companies	1	6000			6000	0.03	6000		6000	0.03
(i)	NBFCs registered with RBI	2	465600			465600	2.25	465600		465600	2.25
Sub-Total (B)(1)		7	1351200			1351200	6.53	1351200		1351200	6.53
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	14	559200			559200	2.7	559200		559200	2.7
(e)	Foreign Portfolio Investors Category II	3	115200			115200	0.56	115200		115200	0.56
Sub-Total (B)(2)		17	674400			674400	3.26	674400		674400	3.26
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	2031	2576400			2576400	12.45	2576400		2576400	12.45
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	2	51600			51600	0.25	51600		51600	0.25
(i)	Non Resident Indians (NRIs)	20	63600			63600	0.31	63600		63600	0.31
(l)	Bodies Corporate	25	1200000			1200000	5.8	1200000		1200000	5.8
(m)	Any Other (specify)	135	282000			282000	1.36	282000		282000	1.36

Sub-Total (B)(4)		2213	4173600			4173600	20.16	4173600		4173600	20.16
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		2237	6199200			6199200	29.95	6199200		6199200	29.95
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		2246	20699200			20699200	100	20699200		20699200	100
Total (A+B+C )		2246	20699200			20699200	100	20699200		20699200	100





(B)=(B)(1)+ (B)(2)+(B) (3)+(B)(4)				29.95	1765200	28.47			6199200	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total ( A+B+C2 )				100	16265200	78.58			20699200			
Total (A+B+C )				100	16265200	78.58			20699200			

**Individuals/Hindu undivided Family**

Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	NITA KISHOR DOSHI	DEVYANI C DOSHI	RAJESH NANALAL DOSHI	UTSAV KISHORKUMAR DOSHI	KHUSHBOO DOSHI	PALLAV KISHORBHAI DOSHI	KRUTI RAJESHBHAI DOSHI
No. of fully paid up equity shares held (IV)	1480000	1140000	600000	2000000	1960000	1360000	960000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1480000	1140000	600000	2000000	1960000	1360000	960000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.15	5.51	2.9	9.66	9.47	6.57	4.64
<b>Number of Voting Rights held in each class of securities (IX)</b>							
Class eg:X	1480000	1140000	600000	2000000	1960000	1360000	960000
Class eg:y							
Total	1480000	1140000	600000	2000000	1960000	1360000	960000
Total as a % of Total Voting rights	7.15	5.51	2.9	9.66	9.47	6.57	4.64
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	7.15	5.51	2.9	9.66	9.47	6.57	4.64

Number of Locked in shares (XII)							
No. (a)	1480000	1140000	600000	2000000	1960000	1360000	960000
As a % of total Shares held (b)	100	100	100	100	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1480000	1140000	600000	2000000	1960000	1360000	960000
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter	Promoter	Promoter Group

**Individuals/Hindu undivided Family**

Serial No.	8	9	
Name of the Shareholders (I)	KARISHMA RAJESH DOSHI	UTKARSH RAJESH DOSHI	<a href="#">Click here to go back</a>
			Total
No. of fully paid up equity shares held (IV)	3080000	1920000	14500000
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3080000	1920000	14500000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	14.88	9.28	70.05
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg:X	3080000	1920000	14500000
Class eg:y			
Total	3080000	1920000	14500000
Total as a % of Total Voting rights	14.88	9.28	70.05
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	14.88	9.28	70.05
<b>Number of Locked in shares (XII)</b>			

No. (a)	3080000	1920000	14500000
As a % of total Shares held (b)	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	3080000	1920000	14500000
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type	Promoter	Promoter Group	

### Alternate Investment Funds

Serial No.	1	2	
Name of the Shareholders (I)	ABSOLUTE RETURNS SCHEME	VPK GLOBAL VENTURES FUND - VPK GLOBAL VENTURES FUND - SCHEME 1	<a href="#">Click here to go back</a>
			Total
No. of fully paid up equity shares held (IV)	219600	475200	694800
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	219600	475200	694800
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.06	2.3	3.36
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	219600	475200	694800
Class eg:y			
Total	219600	475200	694800
Total as a % of Total Voting rights	1.06	2.3	3.36
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.06	2.3	3.36
<b>Number of Locked in shares (XII)</b>			

No. (a)	187200	475200	662400
As a % of total Shares held (b)	85.25	100	95.34
Number of equity shares held in dematerialized form (XIV)	219600	475200	694800
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	187200	475200	662400
Sub-category (ii)	32400	0	32400
Sub-category (iii)	0	0	0

### Bodies Corporate

Serial No.	1	2	
Name of the Shareholders (I)	MONEYWISE FINANCIAL SERVICES PVT. LTD. MFSPL	SMC GLOBAL SECURITIES LIMITED	<a href="#">Click here to go back</a>
			Total
No. of fully paid up equity shares held (IV)	354000	310800	664800
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	354000	310800	664800
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.71	1.5	3.21
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	354000	310800	664800
Class eg:y			
Total	354000	310800	664800
Total as a % of Total Voting rights	1.71	1.5	3.21
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.71	1.5	3.21
<b>Number of Locked in shares (XII)</b>			



No. (a)	261600	0	261600
As a % of total Shares held (b)	73.9	0	39.35
Number of equity shares held in dematerialized form (XIV)	354000	310800	664800
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	261600	0	261600
Sub-category (ii)	92400	310800	403200
Sub-category (iii)	0	0	0

**NBFCs registered with RBI**

Serial No.	1	
Name of the Shareholders (I)	RAJASTHAN GLOBAL SECURITIES PRIVATE LIMITED	<a href="#">Click here to go back</a>
		Total
No. of fully paid up equity shares held (IV)	372000	372000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	372000	372000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.8	1.8
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	372000	372000
Class eg:y		
Total	372000	372000
Total as a % of Total Voting rights	1.8	1.8
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.8	1.8
<b>Number of Locked in shares (XII)</b>		

No. (a)	279600	279600
As a % of total Shares held (b)	75.16	75.16
Number of equity shares held in dematerialized form (XIV)	372000	372000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	279600	279600
Sub-category (ii)	92400	92400
Sub-category (iii)	0	0

